



Study for

Machine-to-Machine (M2M) Communication

for cdma2000 Networks

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FOREWORD

This foreword is not part of this study.

3GPP2 Study for M2M Communication references material initially presented in the following publication:

- SC.R5003-0 v1.0: “3GPP2 Vision for 2009 and Beyond”

1 1 INTRODUCTION

2 1.1 Scope

3 The intent of this document is to evaluate the aspects of Machine-to-Machine
4 (M2M) communications that are required to ensure that cdma2000^{®1} network
5 infrastructure is a viable communication network option for the M2M
6 applications, including:

- 7 • Identify M2M service functionalities that capture the
8 fundamentals of M2M communications and possible M2M
9 applications.
- 10 • Identify applicable M2M communications use cases and
11 characteristics to ensure that they are the basis for the evaluated
12 network enhancements through out this study.
- 13 • Identify the list of potential requirements needed to enable
14 machine type communications on cdma2000 networks based on
15 the identified M2M service functionalities.
- 16 • Evaluate the impact of the anticipated large number of M2M
17 devices and how to minimize this impact on the cdma2000
18 networks (e.g., a clear definition of M2M Group Based
19 communications and its usage).
- 20 • Identify possible enhancements that would help in providing
21 cdma2000 network operators with lower operational complexities
22 when offering machine-type communication services.
- 23 • Optimize network operations to minimize the impact on device
24 battery power usage.

25 This study of M2M Communication is targeting the enablement of machine-
26 type data communication services and M2M applications on cdma2000
27 networks.

28 1.2 Document Conventions

29 “Shall” and “shall not” identify requirements to be followed strictly to conform
30 to this document and from which no deviation is permitted. “Should” and
31 “should not” indicate that one of several possibilities is recommended as
32 particularly suitable, without mentioning or excluding others, that a certain
33 course of action is preferred but not necessarily required, or that (in the

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1 negative form) a certain possibility or course of action is discouraged but not
 2 prohibited. “May” and “need not” indicate a course of action permissible within
 3 the limits of the document. “Can” and “cannot” are used for statements of
 4 possibility and capability, whether physical or causal.

5 1.3 References

6 All references are informative.

7 [1] ETSI TS 102 671 Release 9, “Smartcards; Machine To Machine UICC;
 8 Physical and logical characteristic”, April 2010.

9 [2] 3GPP2 C.S0024 cdma2000 High Rate Packet Data Air Interface
 10 Specification

11

12 2 ACRONYMS, ABBREVIATIONS, AND TERMINOLOGY

ACRONYM & ABBREVIATION	TERM	DEFINITION
API	Application Programming Interface	An interface implemented by a software program that enables interaction with other software by use of applications, libraries and operating systems.
ATI	Access Terminal Identifier	Link layer addressing identifier, typically assigned by the access network to a wireless terminal. (See C.S0024[2] for further details.)
CSIM	cdma2000 Subscriber Identity Module	cdma2000 Application residing on the UICC or M2M UICC.
DOS	Data Over Signaling	Method to support Access Channel utilization of segmentation and reassembly for small payloads.
M2M or MTC	Machine-to-Machine	A form of data communication that involves one or more entities that do not necessarily require human interaction. MTC is synonymous with “M2M Communication”.
M2M Characteristic	M2M Characteristic	A specific property of M2M communication that defines the device or system function.

ACRONYM & ABBREVIATION	TERM	DEFINITION
M2M UICC	M2M Universal IC Card	A UICC with specific properties for use in M2M environments, this includes existing form factors and the new M2M Form Factors MFF1 and MFF2 as defined in ETSI TS 102 671[1].
M2M Use Case	M2M Use Case	A description of how M2M device(s) and/or the M2M server utilize one or more of the M2M characteristics to accomplish a given task.

1

2 **3 M2M COMMUNICATION AND SERVICES OVERVIEW**

3 3.1 Introduction

4 Machine-to-Machine (M2M) communication, also referred to as Machine Type
5 Communication (MTC), is a form of data communication that involves one or
6 more entities that do not necessarily require human interaction or intervention
7 in the process of communication.

8 M2M communication can be used to enable different types of services that are
9 valuable to the end user. Smart metering, healthcare monitoring, fleet
10 management and tracking, remote security sensing, and on-demand business
11 charging transactions are few examples of the M2M communication services.

12 Although all of the M2M communication services share a common set of
13 characteristics, each M2M communication service has its own specific
14 characteristics that may require a special handling or optimization by the
15 wireless network. Because of the nature of M2M communication services, an
16 optimal network for human-to-human communications may not be optimal for
17 M2M communications.

18 cdma2000 networks may need to be optimized to accommodate the nature of
19 M2M communication services taking into consideration the M2M
20 communication services common characteristics first. Based on the value
21 added by the M2M communication service, the network needs to address the
22 selected specific characteristic(s). M2M communication services common
23 characteristics involve:

- 24 • communication scenarios that do not require human control
- 25 • limited human interaction in the communication process
- 26 • anticipation of a potentially huge number of communicating
- 27 devices

- 1 • lower complexity and less effort compared to human
2 communication
- 3 • the use of data communications (packet/circuit-switched)
- 4 • low volumes of traffic per device for a majority of M2M
5 applications

6 3.2 Potential Impact of M2M Communication Services on Network Operational 7 Complexities

8 Today, there is a wide range of M2M applications and new applications are
9 being developed every day. While data usage for some M2M applications is
10 similar to the existing data applications, others may have a very different
11 behavior. Depending on the application, there could be potential impacts to the
12 network operation in one or more of the following areas:

- 13 • Subscription Management: With the introduction of an M2M
14 application, (e.g., Smart Grid application) to an existing service
15 area, many new “subscribers” will be added rapidly. Since the
16 behavior of these M2M devices can be drastically different from a
17 human data communication user, there is a need to investigate an
18 effective way to manage these kinds of new subscriptions.
- 19 • Network Resource: Typically, the current cdma2000 networks
20 have been designed and optimized to support both voice and data
21 services. With the deployment of the M2M devices, system
22 capacity can be significantly impacted. Although some M2M
23 devices may transmit intermittently, these devices may compete
24 with one another and with other data users for network resources.
- 25 • Billing: The impact on the billing comes as a consequence of the
26 impact on subscription and network resource management.

27

28 **4 M2M COMMUNICATION SERVICES AND SCENARIOS**

29 4.1 Introduction

30 Each M2M communication service is developed to address one or more of the
31 M2M communication characteristics. The M2M communication service is
32 usually enabled using a specific M2M application. In addition, the M2M service
33 or application is developed to address a specific M2M scenario.

34 The M2M characteristics that should be considered for further analysis and
35 support by the cdma2000 network are listed in section 4.2. Additional M2M
36 characteristics may be identified in the future, and if so, should be included in
37 the analysis. After such analysis, it could become clear that in order for the
38 cdma2000 network to support the enablement of such M2M services or
39 applications, certain network optimization may become inevitable.

1 Each M2M communication application is developed with a specific M2M
2 communication scenario in mind. In addition, these M2M applications need to
3 consider the possibility of an M2M device communicating with one or more
4 M2M communication server(s) or another device(s). Thus far, three
5 communication scenarios for M2M have been identified:

- 6 • M2M device communicates with one or more M2M
7 Communication Servers
- 8 • M2M devices communicate with one another
- 9 • M2M communication servers/concentrators communicate
10 hierarchically with each other

11 Sections 4.3 through 4.4 provide further details on these M2M communication
12 scenarios.

13 4.2 M2M Communication Characteristics

14 Table 1 describes M2M Characteristics supported by cdma2000 networks and
15 is presented for consideration and analysis.

16

1

Table 1: List of M2M Characteristics

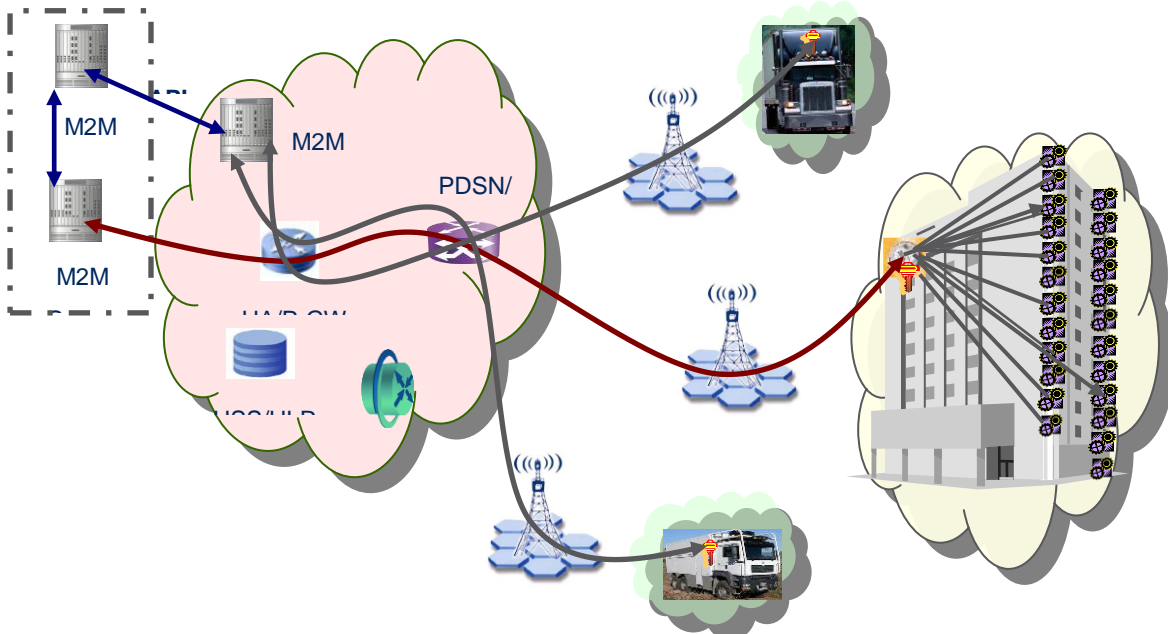
M2M CHARACTERISTIC	M2M CHARACTERISTIC DESCRIPTION
Delay Sensitive	M2M applications that require extremely fast access to the network and cannot tolerate much delay (e.g., smart grid recovery operations, and emergency automatic shutdown of a gas pipeline in case of earthquake or other calamity).
Group Based M2M Characteristics <ul style="list-style-type: none"> ▪ Group Based Policing ▪ Group Based Addressing 	M2M devices which could share the same subscription and a common set of M2M characteristics can be handled by the network as a group rather than individually.
Location Specific Trigger	M2M devices that are known by the M2M Application to be in a particular area or location.
Low Mobility	M2M devices that have a limited scope of movement (e.g., fixed, infrequent movement, or move only within a specific region).
M2M Monitoring	This characteristic monitors M2M device-related events.
Mobile Originated Only	M2M devices that only support mobile originated communications.
Packet Switched (PS) Only	M2M devices that require packet-switched data services only.
Priority Access	M2M devices that are given preference for ingress and egress access over other devices competing for the same resources (e.g., communication in emergency situations and public safety).
Priority Alarm	M2M devices that issue a priority alarm when certain event occurs (e.g., theft, or other event that needs immediate attention).

M2M CHARACTERISTIC	M2M CHARACTERISTIC DESCRIPTION
Secure Connection	M2M applications that require secure communications between an M2M device and the M2M server.
Small Payload	M2M devices that send or receive small amounts of data in each distinct communication.
Time Controlled	M2M applications that can tolerate sending or receiving data during certain time intervals, thus reducing signaling load. Network operator may allow communication outside these time intervals but charge differently.
Time Tolerant	M2M applications that can postpone or tolerate delay of their data transmissions based on network conditions or other circumstances.
Uplink Data for Provided Network Destination	M2M applications that require all data from an M2M device to be sent to a specific network destination using the provisioned destination IP address.

- 1
- 2 4.3 M2M Device Communications with One or More M2M Server(s)
- 3 The M2M device communicates with a single M2M server or multiple M2M
- 4 servers. The M2M device may use the same application to communicate
- 5 simultaneously with different M2M servers for access to similar types of M2M
- 6 services from multiple resources. In addition, the M2M device could
- 7 communicate with different M2M servers using different applications to receive
- 8 different M2M services.
- 9 In the case of such M2M communication scenarios, the following use cases
- 10 have been identified (see Figure 1):
- 11 4.3.1 M2M server is located inside the cdma2000 network
- 12 • The network operator offers Application Programming Interface
- 13 (API) on its M2M Servers(s)
- 14 • M2M User accesses M2M Server(s) of the operator's network via an
- 15 API

1 4.3.2 M2M Server is located outside the cdma2000 network

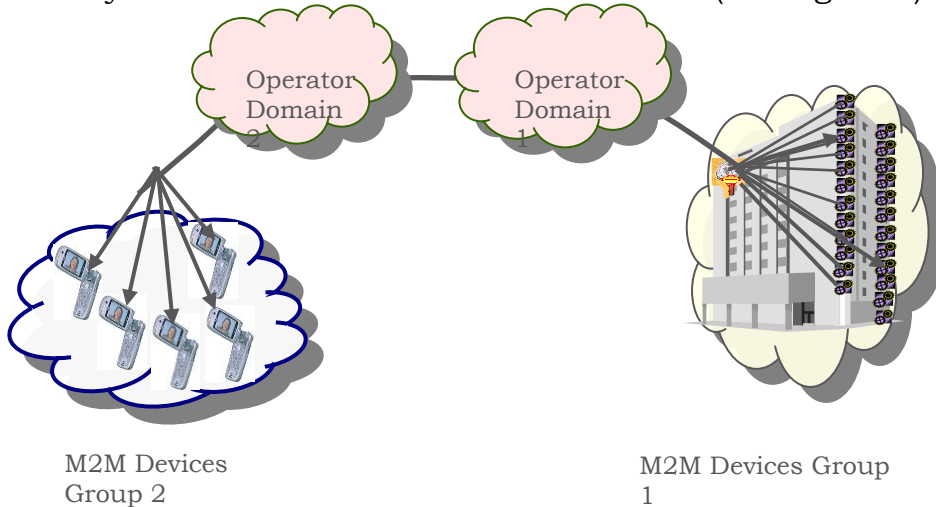
- 2 • The network operator offers the network connectivity to the M2M
3 Server(s) located outside of the operator’s network
4 • The cdma2000 network works as a transmission medium for the
5 M2M communication



6
7 **Figure 1: M2M Devices Communicating with M2M Server(s)**

8 4.4 M2M Device Communications with M2M Device(s)

9 This is the communication scenario where the M2M devices communicate
10 directly without an intermediate M2M server (see Figure 2).



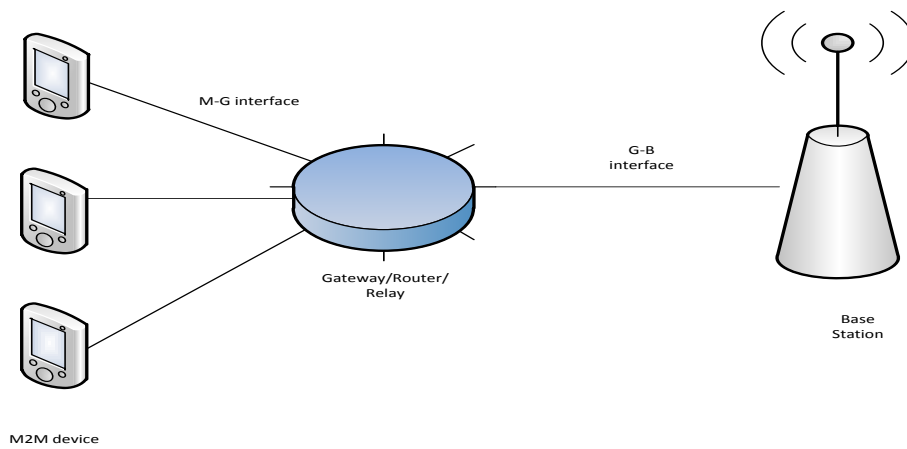
11
12 **Figure 2: Inter-M2M Devices Communication**

13

1 4.5 M2M Access Hierarchical Architecture

2 In order to avoid congestion to the cellular air interface, a M2M gateway
 3 acting as an aggregator node and/or a proxy on behalf of the network
 4 could be used. The M2M gateway connects the underlying M2M devices
 5 either through cdma2000 or via other means of communication. In
 6 addition, the gateway can perform several functions such as data
 7 forwarding, data aggregation, admission control, protocol translation,
 8 device monitoring, etc.

9 As show in figure 3 below, the M-G interface can be cdma2000 or other
 10 means of communication, the G-B interface is a cdma2000 air interface.



11

12

Figure 3: M2M Gateway Architecture

13

14 **5 M2M ADDRESSING AND IDENTIFIERS**

15 For the purpose of device and network management, any M2M device deployed
 16 in the cdma2000 systems should have a unique identity to provide information
 17 related to either subscription or the physical characteristic of the device.

18 Furthermore, an M2M device deployed in a cdma2000 network should adopt a
 19 direct or indirect addressing means so that it can be independently addressed.

20 Depending on how an M2M device is attached in the network architecture of
 21 the various Communication Scenarios depicted in Section 4, the M2M device in
 22 a service network should communicate with the application server(s) or other
 23 device(s) through one of the following modes:

- 24 • Through direct addressing (e.g., the M2M device has a unique IP
 25 address);
- 26 • Through another subsystem's internal addressing mechanism (e.g.,
 27 through a "Home Gateway", or a "Data Aggregator");
- 28 • Through a logical mapping of pre-registered information (e.g., use
 29 ATI to access an M2M device in a cell in an HRPD network).

1 Although it is straightforward to have each M2M device equipped with an IP
2 address, it may not be practical due to the complexity limitation of some M2M
3 devices, such as a simple motion sensor of a security monitoring system.

4 Addressing through another subsystem is a typical solution to accommodate
5 the M2M devices with limited complexities or to shield certain M2M devices
6 from being directly accessed from the external addressing mode (e.g., through
7 direct IP access).

8 Each M2M device should be uniquely identified. Typically, an M2M device must
9 have an identifier associated with the physical identity (e.g., a serial number)
10 so that it can be uniquely tracked for device management purposes.

11 Those M2M devices that connect directly to the cdma2000 network need a
12 subscription for a service, and hence, a subscription identity is required. The
13 subscription identity, preferably, should be uniquely associated with the M2M
14 device; however, there may be occasions the subscription identity indicates a
15 “group service”, which is applicable to a group of M2M devices.

16 In order for an M2M device to transmit data or for the network to page an M2M
17 device within a cell more efficiently, a physical identity (or a subscription identity)
18 can be mapped to a logical identity with an access ID in a shorter format. For
19 example, in cdma2000 network, the physical identity of an M2M device can be
20 mapped into a logical identity such as an Access Terminal Identifier (ATI) after
21 the M2M device has been registered with a valid subscription identity. The logical
22 identity can then be used to identify the M2M device in the same way as the
23 physical identity but in a more compact format for the transmission efficiency
24 over the air link.

25 In order to support the class of M2M devices that require end-to-end IP
26 communication capability, each M2M device directly connected to the cdma2000
27 network may need to have a unique IP address. M2M devices connected to the
28 network via some type of M2M Gateway may or may not use IP addressing.

29 It is recommended that the device identity be separated from the subscription
30 identity. Comprehensive recommendations on device numbering and
31 addressing related to the M2M subscription identity and the device identity for
32 the cdma2000 systems can be found in the SC report on this subject.

33

34 **6 POTENTIAL M2M COMMUNICATION SERVICE REQUIREMENTS**

35 6.1 Improved Management of M2M Communication Groups

36 M2M Service subscribers will be able to assign multiple devices that can be
37 managed on an individual or group basis. For an operator supporting M2M
38 devices on its network, it would be helpful if there is a broadcast message that
39 can be sent from the network for the purpose of controlling or alerting a group
40 of M2M devices. From the viewpoint of radio congestion, it is preferable to
41 utilize aggregate control via broadcast messaging rather than individual

1 simultaneous messaging addressed to each one of the multiple M2M
2 subscribed devices.

3 M2M Services will be able to send a broadcast message to a large group of M2M
4 devices affiliated with a single subscriber (e.g., to page the M2M devices).

5 6.2 Reduced Complexity

6 There is a need for a class of M2M devices with reduced design complexity (e.g.,
7 reduced radio interface design complexity due to low data transmission rate)
8 for certain M2M applications such as vending machine, Supervisory Control
9 And Data Acquisition (SCADA), electric meters, home security, etc. All of these
10 devices generally send a small amount of data on a relatively low duty cycle
11 and usually require no mobility.

12 6.3 Device Management

13 M2M devices such as vending machines, electric meters, SCADA, etc. may not
14 have any direct human interaction. It is necessary to provide a way to
15 provision, diagnose and even upgrade software over the air interface.

16

17 **7 M2M COMMUNICATION USE CASES**

18 An M2M use case refers to a set of M2M devices that uses one or more M2M
19 characteristics when connected via cdma2000 network. Table 2 presents a list
20 of M2M use cases and their associated M2M characteristics. Each of these
21 M2M use cases can be used for testing and evaluating the cdma2000
22 enhancements that address the respective M2M characteristics.
23

1

Table 2: List of M2M Use Cases

M2M USE CASE	M2M CHARACTERISTICS & NOTES	
Connected Home Appliances	<ul style="list-style-type: none"> ○ Fixed ○ Small Payload ○ Time Tolerant ○ Time Controlled ○ Group-based Policy ○ Group-based Billing 	
Fleet Management Tracking	<ul style="list-style-type: none"> ○ Small Payload ○ Mobile Device ○ Group-based Policy ○ Group-based Billing 	
Payment Machine	<ul style="list-style-type: none"> ○ Fixed or low mobility 	Most vending machines are stationary. Some payment terminals (e.g., hand-held credit card machines used in restaurants) have low mobility. Exception cases include vending machines on high-mobility transportation (e.g., trains).
	<ul style="list-style-type: none"> ○ Small Payload 	Payment transactions and credit card verifications typically require small amounts of transmitted or received data.
	<ul style="list-style-type: none"> ○ Asynchronous transmission 	All data transmissions are asynchronous because the payment transactions typically are triggered by random user input and responses are sequential to that input.
	<ul style="list-style-type: none"> ○ Infrequent mobile termination 	Most transactions are mobile-originated. Mobile-terminated transactions typically occur in response to operator queries.
	<ul style="list-style-type: none"> ○ Security 	Financial transactions typically require enhanced security support.

M2M USE CASE	M2M CHARACTERISTICS & NOTES	
	<ul style="list-style-type: none"> ○ Group-based address & policy 	The operator might want to address this type of device on a group basis.
Professional Health Care Monitoring	<ul style="list-style-type: none"> ○ Low Mobility ○ Small Payload ○ Delay Sensitive ○ High Priority ○ Priority Alarm 	
Public Safety	<ul style="list-style-type: none"> ○ Priority Access ○ Small Payload ○ Group-based Policy 	
Security and Surveillance	<ul style="list-style-type: none"> ○ Delay Sensitive ○ Priority Alarm ○ Uplink Data for Provided Network Destination ○ M2M Monitoring ○ Secure Connection ○ Small Payload (if real time audio and/or video monitoring is not required) 	
Smart Grid Control	<ul style="list-style-type: none"> ○ Fixed to Low Mobility ○ Small Payload ○ Delay Sensitive ○ Group-based Billing ○ Group-based Addressing ○ Group-based Policy ○ Priority Alarm ○ Priority Access 	
Smart Metering	<ul style="list-style-type: none"> ○ Fixed to Low Mobility ○ Small Payload ○ Time Controlled ○ Group-based Policy ○ Group-based Billing ○ Group-based Addressing 	

- 1
- 2 **8 SYSTEM ENHANCEMENTS FOR M2M COMMUNICATIONS SERVICES SUPPORT**
- 3 M2M applications provide a mechanism to support the ever increasing use of
- 4 automation in every day life. These applications or services are not new to us,

1 and many M2M applications such as home automation, security, alarms
 2 systems, and meter reading are already deployed. However, most of these M2M
 3 applications are deployed independently or in isolation from other M2M
 4 applications. The goal of this study is to examine current cdma2000
 5 technologies in order to best support various types of M2M applications.

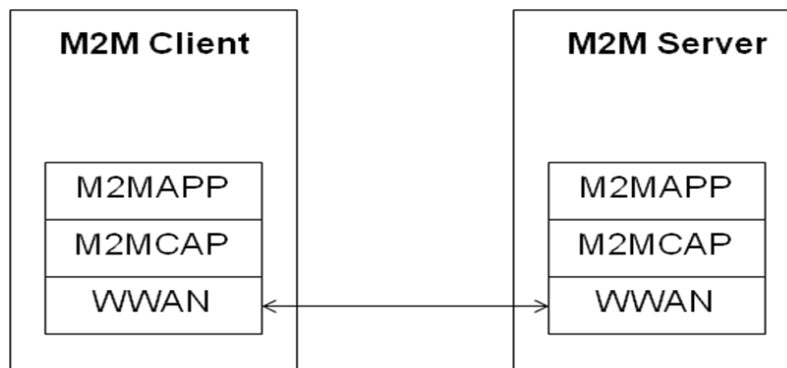
6 Wireless networks are becoming ubiquitous, covering both congested urban
 7 and outlying rural communities around the world. It is clear that wireless
 8 networks provide a flexible communication platform for M2M applications.
 9 M2M applications can provide new potential markets and business
 10 opportunities for wireless communications. cdma2000 is optimized for spectral
 11 efficiency. cdma2000 was not initially created with M2M applications in mind,
 12 yet key enhancements will leverage the cdma2000 technologies, including the
 13 spectral efficiency, to successfully support M2M applications.

14 8.1 3GPP2 System Architecture Enhancements Overview

15 8.1.1 Communication Model

16 The M2M applications use the client-server communication model for the M2M
 17 terminals to communicate with the M2M application servers on the network.

18



19

20

Figure 4: M2M Client Server Communication Model

21

22 A M2M Client resides in an M2M terminal, while the M2M Server is on the
 23 operator's core network or connected through it.

24 M2M Client contains three protocol suites:

- 25 • M2M Application Protocols (M2MAPP) define specifications for
 26 different M2M applications such as smart grid, meter reading,
 27 traffic control, etc. M2M applications could send data to the next
 28 layer protocol M2MCAP directly. In some other applications,
 29 M2MAPP could be a communication node of a short range wireless
 30 network such as Wireless Sensor Network (WSN). The Blue Tooth,
 31 ZigBee etc. capable devices could transmit data over Blue Tooth,
 32 or ZigBee network to the M2M Client as M2MAPP data.

- 1 • M2M Communication Adaptation Protocol. See the detail in next
2 section.
- 3 • Wireless Wide Area Network (WWAN) protocol such as cdma2000
4 mobile station protocol provides the wireless communication to
5 the M2M server over WWAN network.

6 M2M Server also contains three protocols suites matching with M2M Client's
7 protocol suites. M2M Server shown here is a logic entity in the network. In
8 real implementation, each protocol suite could reside in different physical node.
9 For example, WWAN could be an existing cdma2000 network entity, while
10 M2MCAP could reside in an independent network node to connect to many
11 M2M application servers for different applications.

12 M2M Client-Server supports N to 1 communication model, which allows
13 multiple M2M clients to access the M2M server simultaneously.

14 8.1.2 M2M Communication Adaptation Protocol

15 The M2M applications might utilize different means of communication, such as
16 fixed wired network, WiFi, etc, whenever they are available and when devices
17 are capable. The cellular communication network is one such communication
18 means to provide wide area coverage. In order to make M2M applications more
19 independent from the communication networks and more adaptive to many
20 environments, it would be necessary to define a communication adaption
21 protocol (M2MCAP) layer between M2M applications and communication
22 networks.

- 23 • M2MCAP provides an adaption to different communication
24 networks, such as cdma2000 1x SMS, cdma2000 1x Circuit Data,
25 HRPD Packet Data, WiFi, etc. and carries M2M application
26 payloads over such networks.
- 27 • M2MCAP provides the capability for the M2M Server to monitor,
28 diagnose, authenticate, and upgrade M2M devices over such
29 networks.
- 30 • M2MCAP provides the re-transmission mechanism for reliable
31 delivery of M2M application packets.
- 32 • M2MCAP could interface with M2M devices directly or via a
33 communication node of the WSN on the client side.
- 34 • M2MCAP could interface to the M2M applications over IP network
35 on the server side.

36 8.1.3 New M2M Terminal Class

37 Introducing new M2M terminal classes would help to separate M2M terminals
38 from existing cdma2000 mobile stations. With those new M2M terminal
39 classes, the optimization would be applicable to the particular M2M terminals

1 without impact existing mobile stations. In addition, the new classes would
2 also help to distinguish M2M applications.

3 8.2 cdma2000 Radio Enhancements

4 8.2.1 Transmission Efficiency of Communication Networks

5 8.2.1.1 Reverse Link Transmission Efficiency

6 The existing CDMA2000 network is evolved from voice centric network
7 architecture in which human utilizes the network facilities for the forward and
8 reverse link symmetric communications.

9 In packet data applications, the information is stored in the Internet. People
10 use applications such as web browsing, video and music down loading, etc. on
11 mobile stations to access the network to get information from the Internet.
12 These types of applications create asymmetric traffic between forward and
13 reverse links. It typically requires much larger radio access network capacity
14 on the forward link than the reverse link.

15 In M2M applications, on the other hand, the information typically originates on
16 the machine terminals and is required to be transmitted to the network over
17 the wireless communication networks. Therefore, efficient reverse link
18 transmission of the wireless network is important for M2M applications.

19 Most current applications such as voice and data, over cdma2000 networks
20 require establishment of connections first. After a traffic channel is set up, a
21 mobile station would be able to transmit the data over traffic channels. Due to
22 characteristics of M2M applications, however, many M2M applications only
23 need to send short bursty packets. Therefore using connection oriented
24 transmission would generate many signaling exchanges between M2M
25 terminals and the network, hence reducing transmission efficiency for M2M
26 applications.

27 8.2.1.1.1 Access Mechanism Enhancement

28 In some of M2M applications, a large number of machine terminals might
29 require access to the network at the same time, for example as follows:

- 30 • In Telematics applications, many vehicles could transmit a large
31 amount data to the network when they are located or
32 concentrated in specific locations, such as near traffic signals and
33 ready to move into traffic.
- 34 • In instant delivery applications, some messages to a large number
35 of smart phones located in a small area, such as stadium, may
36 generate many pages to these terminals leading to unwieldy
37 amounts of network access and attempts to establish network
38 connections.

- If there is a network failure, a large number of M2M devices may fail in their attempts to establish connections. These devices may be driven by the application behavior to repeatedly attempt network access.

In these examples, application behavior may generate access congestion. The congestion may be aggravated if the M2M terminals try to repeat their access attempts and increase their access probes. As a result, some terminals may not achieve access successfully after several attempts. If not properly controlled, this could negatively impact system performance.

Adding M2M devices to a cdma2000 network should not degrade native cdma2000 services (e.g., voice, video, or data) assuming adequate capacity is provisioned to support M2M. M2M devices and networks should have access control mechanisms fully activated to mitigate access attempt overload. In addition, requirements should be developed that protect the cdma2000 network from M2M “storms” in forward and reverse links during times of system access and transmission of data.

Optimized mechanisms for access control should be considered, as follows:

- control access frequency of M2M terminals
- reduce collisions which cause re-transmission and access delay
- differentiate M2M terminals or some M2M applications from ordinary voice and data applications, thereby prioritizing M2M applications via special treatment in case of congestion or failure, as appropriate.

Another possibility is to consider the connectionless transmission over the access channel for M2M applications. The connectionless transmission is not new in cdma2000. When a mobile station is in idle state, the signaling transmission uses connectionless transmission mechanism over the access channel. Since the access channel is designed to carry signaling, it might need to be optimized for carrying a large amount of M2M application data.

8.2.1.1.2 Lower Minimum Transmission Rate

Existing radio network specifications have some limitation on the minimum transmission rate on the reverse link. In many M2M applications, the payload from M2M applications can be very short. Such limitation on the minimum transmission rate, may reduce efficiency of reverse link transmission. In addition, such transmission rate may require a relative good radio environment. Therefore reducing the minimum transmission rate and providing adaptation of different transmission rates to the radio environment, payload size and other traffic conditions could potentially improve the transmission efficiency for M2M applications.

1 When considering lowering the minimum transmission rate, overall system
2 throughput may be affected.

3 8.2.1.1.3 Reduce Transmission Overhead

4 When an M2M terminal transmits data over a shared reverse link common
5 channel, it needs some identification to distinguish itself from other M2M
6 terminals. The overhead in such shared common channel might take a large
7 portion of transmitted data over the air compared to the application payload.
8 Reducing the overhead would help to improve the reverse link transmission
9 efficiency over the common channel.

10 8.2.2 Improve Radio Link Reliability and Extend Coverage

11 M2M applications are very diverse and can have significant variance on the
12 communication requirements. Thanks to the availability of wireless cellular
13 network, quite a few M2M applications are using cellular network to provide
14 wide area coverage of M2M communications to reduce the deployment effort. In
15 some deployment situations, however, the radio signal on the wide area
16 coverage might be very low or in deep fading. This would result in radio link
17 reliability issue and coverage shrinkage.

18 8.2.2.1 Reduce the Minimum Transmission Rate

19 As indicated in the discussion on Reverse Link Transmission Efficiency (see
20 section 8.2.1.1.2), reducing the minimum transmission rate could potentially
21 improve the transmission efficiency for M2M applications. Since many M2M
22 applications are only required to send short data packet per each
23 transmission, this optimization would also help improve the radio link
24 reliability and extend radio coverage.

25 8.2.2.2 Improved Access Channel Performance

26 As numerous M2M devices with short bursty transmissions are deployed,
27 Access Channel load is expected to increase. Therefore, it is prudent to look at
28 opportunities to improve Access Channel performance.

29 Some of the following measures should be studied for opportunities to improve
30 Access Channel performance in M2M environment:

- 31 • Increase in access channel capacity (e.g., access parameter settings);
- 32 • Reduce the rate of access probe collisions and retransmissions over the
33 access channel;
- 34 • Implementation of sophisticated access persistence controls for various
35 terminals access classes, commensurate with delay tolerance of M2M
36 applications;
- 37 • Fine tuning of access parameter settings in terms of probe spacing and
38 power increment;

- 1 • Studying the feasibility of separation by Access Channel receiver of
- 2 multi-path signals belonging to a single device from signals belonging to
- 3 different devices;
- 4 • Studying the possibility of soft combining Access Channel modulation
- 5 symbols from multiple receivers, while ensuring that such method does
- 6 not cause probe acknowledge delays;

7 The possibility of soft (selective) combining entails a technique that is available
 8 for the Traffic Channel which helps reduce reverse link transmit power and
 9 improve capacity, but is not currently common practice on Access Channel.
 10 When studying this technique for Access Channel, care should be taken that
 11 processing delays involved do not cause sluggish access probe
 12 acknowledgement, since that may cause the terminal to increase probe power
 13 and retransmit before acknowledgement is received. This means that soft
 14 combining may be viable in case of receivers in two or more sectors of the same
 15 BS/AN. For the case of two distinctly located BSs/ANs, a selective response to
 16 the access probe could be sent from the best reverse link of the BSs/ANs.

17 8.2.2.3 Improve FL and RL Balance

18 In existing cellular system, a M2M terminal can transmit an access probe over
 19 the reverse link on the same cell which it is monitoring on the forward link.
 20 Which cell an M2M terminal is monitoring depends on the pilot signal strength
 21 on forward link of the cell and its neighbor cells. In other word, the existing
 22 specification always assumes the best forward link serving cell is the best
 23 reverse link serving cell. However in a typical deployment scenario, the forward
 24 and reverse link serving cells are not balanced, i.e., the best forward link
 25 serving cell to an M2M terminal might not be its best reverse link serving cell.
 26 This imbalance of forward and reverse link serving cells might cause the M2M
 27 terminal to use extra transmit power or to re-transmit unnecessary access
 28 probes.

29 Solving this imbalance on forward and reverse link serving cells would reduce
 30 reverse link interference, curtail unnecessary probe retransmission, and
 31 extend battery life of battery operated M2M devices.

32 8.2.3 M2M Terminal Management

33 8.2.3.1 M2M Terminal ID Management

34 M2M applications require managing a huge number of M2M terminals in the
 35 field even as most of them are in the inactive state at any given time. The
 36 number of M2M terminals might be larger than the current number of cellular
 37 phones in the world.

38 An M2M terminal may use MEID to identify itself, similar to mobile stations. A
 39 56-bit MEID can uniquely identify a mobile station globally. Unlike mobile
 40 stations which could roam to other places, many M2M terminals might be
 41 installed permanently at particular locations. This creates the question of

1 necessity to use such long bits identity for the fixed M2M deployment, since ID
2 transmission overhead will reduce transmission efficiency.

3 Using short form identity of M2M terminals for the fixed deployment would help
4 to improve transmission efficiency. However, this strategy should be balanced
5 with consideration of premature identifier exhaust for operators to manage
6 M2M terminals in a scalable deployment.

7 8.2.3.2 M2M Terminal Security

8 M2M devices might use detachable devices such as M2M UICC [4] with CSIM
9 application or R-UIM to store subscription information for M2M applications.
10 Since many of M2M terminals might be installed outside and not be monitored
11 in real time, the detachable device for storing subscription information would
12 create security issue. It might be required to develop a mechanism to prevent
13 theft, tampering with subscription credentials and mis-use of subscription
14 information. In addition, it might be necessary to develop some special
15 mechanism to authenticate M2M terminals.

16 8.2.4 Battery Life Improvement for Battery Operated M2M Devices

17 Battery life is very important for the battery operated M2M devices. It is critical
18 for the success of M2M applications. Existing mobile devices are battery-
19 operated in most cases. Since they are supposed to be used by humans, it
20 should not be difficult to recharge when the battery runs out. Therefore current
21 wireless technology does not put emphasis on the requirements for low or
22 extremely low power consumption of battery operated M2M devices.

23 Unlike traditional mobile stations, many M2M devices might not need to wake
24 up often to monitor the network. This provides an opportunity to save battery
25 life by configuring M2M devices for different sleep duration and wakeup time.

26 Reducing unnecessary re-transmissions of M2M application packets might be
27 another way to extend the battery life. Due to uncertainty of radio
28 environment, wireless devices are typically required to re-transmit packets if
29 the previous transmission fails. Those transmission failures might be caused
30 by low signal quality, imbalanced forward and reverse link, or transmission
31 collision over the shared channel. Reducing the possibility of failures decreases
32 re-transmissions and improves battery life, accordingly.

33 M2M applications may have very different transmission latency requirements.
34 Many M2M applications might not need real time (low latency) communication.
35 They can allow more transmission delay and response delay. Therefore M2M
36 applications may be divided into the following latency categories:

- 37 • Low latency
- 38 • Medium latency
- 39 • High latency

1 Based on the category of M2M application, the M2M client and server can
2 provide an efficient way to schedule transmission of M2M data. For some high
3 latency (delay tolerant) applications, the transmission might be scheduled at
4 low traffic period so as to lower transmit power by M2M terminals and help
5 reduce access storm effects on the network.

6 In principle, an M2M device can initiate communication with the network at
7 any time. The network is always on and available to receive access attempts
8 from an M2M device to support an application. In order to reduce power
9 consumption levels, an M2M device may want to schedule periodic network-
10 initiated messaging in support of an application so that the device itself can
11 maintain a known sleep cycle for longer periods of time.

12 M2M services will be able to minimize power consumption in every situation.
13 Operation at the lowest possible power consumption level needs to be
14 supported to extend battery life, including establishing periodic network-
15 initiated messaging to an M2M device.

16 8.3 cdma2000 Core Network Enhancements

17 8.3.1 Resource Management Mechanism Enhancement

18 In some existing M2M applications, the upper layer data session (such as PPP)
19 terminates in a private network or at a server that resides outside the (not
20 visible to) operator's core network. The application may cause M2M terminal to
21 transmit a heartbeat message over the radio access network to keep the upper
22 layer data session alive. This heartbeat message could be a "dummy" data
23 packet from the application layer or a keep-alive message generated by the PPP
24 protocol. As a result, the core network cannot release radio link and bearer
25 resources even if there is no useful data being transmitted for a period of time.
26 This causes waste of radio and other network resources in the operator's
27 network. To address this issue, enhancements to network resource
28 management mechanisms should be considered.

29 8.3.2 Paging Enhancement

30 In order to prevent a huge number of M2M devices tying up network resources,
31 consideration should be given to release the PPP links of M2M devices in some
32 applications after data transmission. However, in the scenario wherein the
33 network pushed data to the M2M devices, there is a requirement for network to
34 page the M2M devices during a null state.

35 8.3.3 Circuit Switch Core Networks Enhancements

36 No specific standards impact has been identified.

37 8.3.4 Packet Data Core Network Enhancements

38 No specific standards impact has been identified.

1 **ANNEX A M2M PARKING LOT**

2 This annex is used to track some of the issues that need to be studied and
 3 considered while evaluating the system enhancements. They are listed here in
 4 order not to lose track of them.

5 **Table A-1: List of NOT to Lose M2M Enhancements**

M2M ENHANCEMENT	NOTES
Enhanced Registration	Associate a given M2M device with various subtypes and define a set of attributes associated with such a device to apply to registration procedures.
Access Channel	Define or modify registration procedures to allow system access to a high priority user (how does a high priority user obtain access to the system, if low priority users are on the system), M2M, Low priority, High Priority.
Supporting Access Channel over Data Over Signaling (DOS)	Utilization of segmentation and reassembly in support of small payloads.

6