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**3RD GENERATION
PARTNERSHIP
PROJECT 2
"3GPP2"**

Interoperability Specification (IOS) for cdma2000 Access Network Interfaces — Part 1 Overview

(3G-IOS v4.3)

(SDO Ballot Version)

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Foreword

(This foreword is not part of this standard.)

This document was produced by Working Groups TR45.4 of the Telecommunications Industry Association and TSG-A of the Third Generation Partnership Project 2. This document was developed in accordance with TIA/EIA and 3GPP2 procedural guidelines, and represents the consensus position of the Working Groups.

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1.0 Introduction

1.1 Overview

This standard describes the overall system functions, including services and features required for interfacing a Base Station (BS) with the Mobile Switching Center (MSC), with other Base Stations, and with the Packet Control Function (PCF); and for interfacing the PCF with the Packet Data Service Node (PDSN).

This standard is intended to provide sufficient specification of a set of interfaces to support the interoperability of one vendor's equipment with that of another. Which interface(s) a vendor chooses to implement is dependent on business decisions, and is up to each vendor. However conformance to any given interface specified within this standard requires all of the messages and procedures for supported features on that interface to be supported as specified within this standard. Establishing standard interfaces allows the BS, PCF, PDSN, and MSC equipment to evolve independently and to be provided by multiple vendors.

1.1.1 Purpose

The purpose is to provide the standard for:

- interfacing an MSC with one or more BSs,
- interfacing a BS with one or more BSs,
- interfacing a PCF with one or more BSs,
- interfacing one or more PDSNs with one or more PCFs.

This document defines the functional capabilities, including services and features, of the specified interfaces. These services and features are the defining characteristics that are the basis for the overall system standard.

The MSC-BS Interface provides telecommunications services access between a Base Station and a Mobile Switching Center. It specifically represents the demarcation point between the BS and the MSC which coincides with the Reference Point "A" as depicted in the Telecommunications Industry Association (TIA) TR45 Network Reference Model (NRM), refer to [18]. This point establishes the technical interface and designates the test points and operational division of responsibility between the BS and the MSC.

This standard fulfills the following criteria:

- supports current [1]~[6], [7] and [10] air interfaces;
- makes maximum use of existing standards from the TIA and other sources;
- promotes reliability enhancement, technical innovation, network product availability, and economic competition;
- allows connection of various manufacturers' BSs to the same MSC;
- supports future MSC and BS implementations;
- allows the separate evolution of MSC and BS technology.

1 The source BS - target BS interface provides for inter-BS soft/softer handoffs. It
 2 specifically represents the demarcation point between two Base Stations which coincides
 3 with the Reference Point "A_{ter}". This point establishes the technical interface and
 4 designates the test points and operational division of responsibility between the source
 5 BS and target BS. The source BS and target BS interface is defined as the A3/A7
 6 interface shown in Figure 2.2-1, Reference Model for cdma2000 Access Network Inter-
 7 faces".

8 The PCF-PDSN interface provides access between a Packet Control Function and a
 9 Packet Data Serving Node for high speed packet data services. It specifically represents
 10 the demarcation point between the PCF and the PDSN which coincides with the
 11 Reference Point "A_{quater}". This point establishes the technical interface and designates
 12 the test points and operational division of responsibility between the PCF and the PDSN.
 13 The PCF-PDSN interface is defined as the A10/A11 interface shown in Figure 2.2-1,
 14 Reference Model for cdma2000 Access Network Interfaces".

15 The PCF-PDSN interface definition fulfills the following criteria:

- 16 • allows connection of various manufacturers' PCFs to the same PDSN and vice versa;
- 17 • makes maximum use of existing standards from the Internet Engineering Task Force
 18 (IETF) and other sources;
- 19 • promotes quality of service and accounting information exchange between the PCFs
 20 and the PDSNs;
- 21 • promotes reliability enhancement, technical innovation, network product availability,
 22 and economic competition;
- 23 • supports future PCF and PDSN implementations;
- 24 • allows the separate evolution of PCF and PDSN technologies.

25 The BS-PCF interface provides access between the Base Station and the Packet Control
 26 Function for high speed packet data services. It specifically represents the demarcation
 27 point between the BS and the PCF which coincides with the Reference Point "A_{quinter}".
 28 This point establishes the technical interface and designates the test points and
 29 operational division of responsibility between the BS and the PCF. The BS-PCF interface
 30 is defined as the A8/A9 interface shown in Figure 2.2-1, Reference Model for cdma2000
 31 Access Network Interfaces".

32 1.1.2 Scope

33 This standard provides the specification for the Interfaces which coincides with the
 34 Reference Point "A", "A_{ter}", "A_{quater}", and "A_{quinter}" defined in the TR45 Network
 35 Reference Model shown in [18].

36 The scope of this standard includes the following topics:

- 37 • MSC-BS and BS-BS interfaces:
 - 38 – Descriptions of the specified functional capabilities that provide wireless
 39 telecommunications services across the MSC-BS and BS-BS interfaces as
 40 defined in the TR45 Network Reference Model;
 - 41 – Descriptions of the division of responsibility of the functions provided between
 42 the BS and the MSC, and between the source BS and the target BS, without
 43 prescribing specific implementations;

- 1 – Descriptions of the MSC-BS Interface and the BS-BS interface standards that
- 2 support DS-41 and cdma2000 systems.
- 3 • PCF-PDSN interfaces:
- 4 – Descriptions of the specified functional capabilities that provide packet data
- 5 services across the PCF-PDSN interface;
- 6 – Descriptions of the division of responsibility of the functions provided between
- 7 the PCF and the PDSN without prescribing specific implementations.
- 8 • BS-PCF interfaces:
- 9 – Descriptions of the specified functional capabilities that provide packet data
- 10 services across the BS-PCF interface;
- 11 – Descriptions of the division of responsibility of the functions provided between
- 12 the BS and the PCF without prescribing specific implementations.

13 The interfaces defined in this standard are specified by a set of characteristics, including:

- 14 • Physical and electromagnetic parameters;
- 15 • Channel structures;
- 16 • Message types and contents;
- 17 • Network operating procedures;
- 18 • User data framing and transport.

19 **1.2 References**

21 **1.2.1 TIA / EIA**

22 For ease of cross referencing, the Telecommunications Industry Association (TIA) /

23 Electronics Industry Association (EIA) references provided in this section are aligned

24 with the 3GPP2 references, provided in section 1.2.2. For consistency within IOS parts,

25 the most commonly referenced documents [1~17] shall be the same as they appear here

26 in this part, or left as “Reserved” if not used in a particular IOS part.

- 27 [1] TIA/EIA/IS-2000.1-B, *Introduction to cdma2000 Standards for Spread*
- 28 *Spectrum Systems*, May 2002.
- 29 [2] TIA/EIA/IS-2000.2-B, *Physical Layer Standard for cdma2000 Spread Spectrum*
- 30 *Systems*, May 2002.
- 31 [3] TIA/EIA/IS-2000.3-B, *Medium Access Control (MAC) Standard for cdma2000*
- 32 *Spread Spectrum Systems*, May 2002.
- 33 [4] TIA/EIA/IS-2000.4-B, *Signaling Link Access Control (LAC) Standard for*
- 34 *cdma2000 Spread Spectrum Systems*, May 2002.
- 35 [5] TIA/EIA/IS-2000.5-B, *Upper Layer (Layer 3) Signaling Standard for cdma2000*
- 36 *Spread Spectrum Systems*, May 2002.
- 37 [6] TIA/EIA/IS-2000.6-B, *Analog Signaling Standard for cdma2000 Spread*
- 38 *Spectrum Systems*, May 2002.
- 39 [7] TIA/EIA/IS-834, *G3G CDMA-DS to ANSI/TIA/EIA-41*, March 2000.
- 40 [8] TIA/EIA/IS-835-A, *cdma2000 Wireless IP Network Standard*, May 2001.
- 41 [9] TIA/EIA-41-D, *Cellular Radiotelecommunications Intersystem Operations*;
- 42 December 1997.
- 43 [10] TIA/EIA-95-B, *Mobile Station - Base Station Compatibility Standard for*
- 44 *Wideband Spread Spectrum Cellular Systems*; March 1999.

- 1 [11] TIA/EIA-2001.1-C, *Interoperability Specification (IOS) for cdma2000 Access*
 2 *Network Interfaces – Part 1 Overview*, 2002.
 3 [12] TIA/EIA-2001.2-C, *Interoperability Specification (IOS) for cdma2000 Access*
 4 *Network Interfaces – Part 2 Transport*, 2002.
 5 [13] TIA/EIA-2001.3-C, *Interoperability Specification (IOS) for cdma2000 Access*
 6 *Network Interfaces – Part 3 Features*, 2002.
 7 [14] TIA/EIA-2001.4-C, *Interoperability Specification (IOS) for cdma2000 Access*
 8 *Network Interfaces – Part 4 (A1, A2, and A5 Interfaces)*, , 2002.
 9 [15] TIA/EIA-2001.5-C, *Interoperability Specification (IOS) for cdma2000 Access*
 10 *Network Interfaces – Part 5 (A3 and A7 Interfaces)*, 2002.
 11 [16] TIA/EIA-2001.6-C, *Interoperability Specification (IOS) for cdma2000 Access*
 12 *Network Interfaces – Part 6 (A8 and A9 Interfaces)*, 2002.
 13 [17] TIA/EIA-2001.7-C, *Interoperability Specification (IOS) for cdma2000 Access*
 14 *Network Interfaces – Part 7 (A10 and A11 Interfaces)*, 2002.
 15 [18] TIA/EIA/TSB100-A, *Wireless Network Reference Model*, March 2001.

16 **1.2.2 3GPP2**

17 The 3GPP2 references are aligned with the TIA/EIA references of section 1.2.1 and are
 18 provided here for information and cross reference purposes.

- 19 [1] 3GPP2 C.S0001-B, *Introduction to cdma2000 Standards for Spread Spectrum*
 20 *Systems*, May 2002.
 21 [2] 3GPP2 C.S0002-B, *Physical Layer Standard for cdma2000 Spread Spectrum*
 22 *Systems*, May 2002.
 23 [3] 3GPP2 C.S0003-B, *Medium Access Control (MAC) Standard for cdma2000*
 24 *Spread Spectrum Systems*, May 2002.
 25 [4] 3GPP2 C.S0004-B, *Signaling Link Access Control (LAC) Standard for*
 26 *cdma2000 Spread Spectrum Systems*, May 2002.
 27 [5] 3GPP2 C.S0005-B, *Upper Layer (Layer 3) Signaling Standard for cdma2000*
 28 *Spread Spectrum Systems*, May 2002.
 29 [6] 3GPP2 C.S0006-B, *Analog Signaling Standard for cdma2000 Spread Spectrum*
 30 *Systems*, May 2002.
 31 [7] 3GPP2 C.S0007-0, *Direct Spread Specification for Spread Spectrum Systems on*
 32 *ANSI-41 (DS-41) (Upper Layers Air Interface)*, June 2000.
 33 [8] 3GPP2 P.S0001-A, “*Wireless IP Network Standard*”, July 2000.
 34 [9-10] Reserved.
 35 [11] 3GPP2 A.S0011-A, *Interoperability Specification (IOS) for cdma2000 Access*
 36 *Network Interfaces – Part 1 Overview*, 2002.
 37 [12] 3GPP2 A.S0012-A, *Interoperability Specification (IOS) for cdma2000 Access*
 38 *Network Interfaces – Part 2 Transport*, 2002.
 39 [13] 3GPP2 A.S0013-A, *Interoperability Specification (IOS) for cdma2000 Access*
 40 *Network Interfaces – Part 3 Features*, 2002.
 41 [14] 3GPP2 A.S0014-A, *Interoperability Specification (IOS) for cdma2000 Access*
 42 *Network Interfaces – Part 4 (A1, A2, and A5 Interfaces)*, 2002.
 43 [15] 3GPP2 A.S0015-A, *Interoperability Specification (IOS) for cdma2000 Access*
 44 *Network Interfaces – Part 5 (A3 and A7 Interfaces)*, 2002.
 45 [16] 3GPP2 A.S0016-A, *Interoperability Specification (IOS) for cdma2000 Access*
 46 *Network Interfaces – Part 6 (A8 and A9 Interfaces)*, 2002.
 47 [17] 3GPP2 A.S0017-A, *Interoperability Specification (IOS) for cdma2000 Access*
 48 *Network Interfaces – Part 7 (A10 and A11 Interfaces)*, 2002.
 49 [18] 3GPP2 S.R0005-B, *Network Reference Model for cdma2000 Spread Spectrum*
 50 *Systems*, April 2001.

1.3 Terminology

1.3.1 Acronyms

Acronym	Meaning
3GPP	Third Generation Partnership Project
3GPP2	Third Generation Partnership Project 2
ANSI	American National Standards Institute
BS	Base Station
BSC	Base Station Controller
BTS	Base Transceiver System
CC	Call Control
CDMA	Code Division Multiple Access
DS-41	Direct Spread (ANSI)-41
EIA	Electronics Industry Association
FA	Foreign Agent
HLR	Home Location Register
IETF	Internet Engineering Task Force
IOS	Interoperability Specification
IP	Internet Protocol
IS	Interim Standard
ISDN	Integrated Services Digital Network
IWF	Interworking Function
kbps	kilobits per second
MIP	Mobile Internet Protocol
MM	Mobility Management
MS	Mobile Station
MSC	Mobile Switching Center
MTSO	Mobile Telephone Switching Office
NRM	Network Reference Model
PCF	Packet Control Function
PCM	Pulse Code Modulation
PDSN	Packet Data Serving Node
PSTN	Public Switched Telephone Network
RLP	Radio Link Protocol
SDU	Selection/Distribution Unit
TIA	Telecommunications Industry Association
TSB	Telecommunications Systems Bulletin
UDI	Unrestricted Digital Information

Acronym	Meaning
UMTS	Universal Mobile Telecommunication System

1

2 **1.3.2 Definitions**

3 **Base Station.** An entity in the public radio telecommunications system used for radio
4 telecommunications with mobile stations.

5 **Base Station Controller.** The control portion of the base station that includes call control
6 logic and interconnections to the MSC, the BTSs that are part of the BS, other BSCs, and
7 BTSs of neighboring BSs for purposes of soft/softer handoff.

8 **Base Transceiver Station.** A component of a base station that includes radio equipment.
9 A BTS is sometimes equated with the physical cell site of a wireless network.

10 **Bearer Connection.** A connection intended to provide a path for user traffic.

11 **Call Association.** The totality of the active communication between the mobile station
12 and the network, including all signaling and transfer of user information.

13 **Cell.** The unit of a base station having the ability to radiate in a given geographic area. In
14 this standard, a Cell ID refers to a particular cell and sector.

15 **DS-41.** An operational mode in which the BS and MS operate with the direct spread (DS)
16 radio layers of the UMTS system defined by 3GPP, and the upper layers defined in
17 [1]~[6] that conform to and interoperate with ANSI-41 based networks [9].

18 **Handoff.** Handoff is the process by which an air interface circuit between a mobile
19 station and a base station is transferred from the current base station equipment and air
20 interface channel to either a different base station equipment and air interface channel or
21 a different air interface channel on the current base station. The following types of
22 handoff are supported:

- 23 1. **Hard Handoff:** A handoff that requires the mobile station to tune its radio equipment
24 or to reestablish synchronization.
- 25 2. **Soft Handoff:** A handoff that does not require the mobile station to tune its radio
26 equipment or to reestablish synchronization and that uses the same frame selection
27 function (and voice transcoding function, if this is a voice call) in the network for
28 both the old and new air interface channels.
- 29 3. **Soft Handoff with Pre-Selection:** The configuration achieved when a BS internally
30 splits a single forward flow of coded user information from the frame selector to
31 send it to two or more cells controlled by that BS. In the reverse direction, the BS
32 joins the flows of coded user information frames from those cells, selects the best
33 quality frame (preselection), and forwards only that selected frame to the frame
34 selector.
- 35 4. **Softer Handoff:** A handoff involving two or more traffic channels on a call such that
36 in the forward direction the BS splits a single flow of traffic channel frames into two
37 or more forward flows to be sent to the mobile station with the power control
38 combined bit set to indicate that the same reverse power control information is to be
39 used. In the reverse direction the BS combines the traffic channel frames that are

1 received from two or more cells/sectors and forms a single reverse flow from this
2 combination.

3 **Interworking Function.** The Interworking Function (IWF), used in the context of this
4 standard, provides a translation of the user traffic on a circuit data call between the fixed
5 network and the air interface.

6 **Logical Channel.** A logical path that can carry signaling, user traffic, or a combination
7 of the two between two entities such as the network and the mobile station. A logical
8 channel can be instantiated over one or more physical channels. Logical channels may
9 also share physical channels.

10 **Mobile Switching Center.** The MSC switches MS-originated or MS-terminated traffic.
11 An MSC connects to one or more base stations. It may connect to other public networks
12 PSTN, ISDN, etc., other MSCs in the same network, or MSCs in different networks. (It
13 has been referred to as Mobile Telephone Switching Office, MTSO.) It provides the
14 interface for user traffic between the wireless network and other public switched
15 networks, or other MSCs.

16 **Packet Control Function.** An entity in the radio access network that manages the relay
17 of packets between the BS and the PDSN.

18 **Packet Data Session.** An instance of use of packet data service by a mobile user. A
19 packet data session begins when the user invokes packet data service. A packet data
20 session ends when the user or the network terminates packet data service. During a
21 particular packet data session, the user may change locations but the same IP address is
22 maintained.

23 **Packet Data Serving Node.** An entity that routes MS originated or MS terminated
24 packet data traffic. A PDSN establishes, maintains and terminates link layer sessions to
25 mobile stations.

26 **Physical Channel.** A physical path between the SDU function and the mobile station that
27 consists of any connecting A3 traffic channel(s) and radio channel(s). Depending on the
28 radio technology in use, a physical channel may be in soft handoff between the mobile
29 station and the SDU function.

30 **SDU Function.** The SDU function (Selection/Distribution Unit function) includes the
31 following functions:

- 32 • **Traffic Handler:** This function exchanges traffic bits with the associated vocoder or
33 CDMA Radio Link Protocol (RLP) function, or is directly connected to the A5
34 interface.
- 35 • **Signaling Layer 2:** This function performs the layer 2 functionality of the air
36 interface signaling protocol and is responsible for the reliable delivery of layer 3
37 signaling messages between the base station and the mobile station.
- 38 • **Multiplex Sublayer:** This function multiplexes and demultiplexes user traffic and
39 signaling traffic for the air interface.
- 40 • **Power Control:** This function administrates the forward and reverse link power
41 control in a CDMA system. This function and the channel element provide the power
42 control function for the CDMA operation. As part of this function, it generates or
43 utilizes relevant power control information that is exchanged over the air interface or
44 with the channel element.

- 1 • Frame Selection/Distribution: This function is responsible for selecting the “best”
2 incoming air interface reverse link frame from the channel elements involved in the
3 soft handoff. It also distributes forward air interface frames to all channel elements
4 involved in a call.
- 5 • Backhaul Frame Handler: This function demultiplexes the control information and
6 the air interface reverse frame from the frame received over the backhaul network. It
7 also multiplexes the control information and the air interface frames in the forward
8 direction.
- 9 • External Frame Handler: This function exchanges backhaul frames with channel
10 elements which are remote from the Selector.
- 11 • Intra-BS Frame Handler: This function exchanges backhaul frames with channel
12 elements involved in intra-BS soft handoff.
- 13 • Control: This function provides control functions.

14 **Sector.** A face of a of physical radio equipment implementation

15 **Signaling Connection.** A connection intended to provide a path for signaling traffic.

16 **Source Base Station.** The BS that is in control of the call.

17 **Target Base Station.** Any BS that supports the call other than the source BS.

18 **1.4 Organization**

19 This section outlines the relationship of the current specification with neighboring
20 elements (air interface, packet data network and the core network) as well as with older
21 versions of the IOS itself. The cross reference of IOS specifications in section 1.4.2 is
22 provided for information and is not intended to indicate additional requirements for the
23 IOS.

24 **1.4.1 This Revision of the IOS**

25 This revision of the IOS specification describes the protocol necessary to provide to
26 wireless radio telephone subscribers certain services requiring interaction between the
27 Mobile Switching Center (MSC), the Packet Control Function (PCF), the Packet Data
28 Service Node (PDSN), and the Base Station (BS), and is based on interoperation with the
29 cdma2000 air interface [1]~[6], the wireless IP network [8] and the ANSI-41 core
30 network [9].

31 **1.4.2 Overall IOS Specifications**

32 When addressing previous revisions of the Interoperability Specification, this revision of
33 the specification uses a common (historical) identifier, IOS v x.y.z, which can be cross
34 referenced as shown in Table 1.4.2-1.

35

Table 1.4.2-1 IOS Cross References

Common ¹	CDG	3GPP2	TIA	Air Interface ²
-	-	-	IS-634 December 1995	IS-95 July 1993
-	-	-	IS-634-A July 1998	IS-95-A May 1995
-	-	-	IS-634-B April 1999	TIA/EIA-95-B March 1999
IOS v2.0.1	IOS v2.0.1 Dec 1998	-	-	-
IOS v2.2	IOS v2.2 June 1999	-	-	-
IOS v2.3	IOS v2.3 Feb 2000	-	-	-
IOS v2.4	IOS v2.4 March 2000	-	-	-
IOS v3.0	IOS v3.0 Nov 1998	-	-	-
IOS v3.1.1	IOS v3.1.1 Aug 1999	-	-	-
IOS v3.2	IOS v3.2 March 2001	-	-	-
IOS v4.0	-	A.S0001 v0.1 June 2000	IS-2001 December 2000	IS-2000 August 2001
IOS v4.1	-	A.S0001-A v2.0 June 2001	IS-2001-A August 2001	IS-2000-A April 2002
IOS v4.2	-	A.S0011~17 v2.0 May 2002	TIA-2001-B May 2002	TIA-2000-B May 2002
IOS v4.3	-	A.S0011~17-A v2.0	TIA-2001-C	TIA-2000-B May 2002

1.5 Document Layout

The Interoperability Specification is organized into seven parts, each published in a separate document:

Overview	general overview, published in [11]
Transport	protocol definitions and transport requirements, published in [12]
Features	descriptions of features, published in [13]
A1/A2/A5 Interfaces	definition of the A1/A2/A5 interfaces, published in [14]
A3/A7 Interfaces	definition of the A3/A7 interfaces, published in [15]
A8/A9 Interfaces	definition of the A8/A9 interfaces, published in [16]
A10/A11 Interfaces	definition of the A10/A11 interfaces, published in [17]

¹ The Common identifier aligns with numbering used in the Software Version Information Element.

² The relationship between air interface and IOS releases is provided for information and is not intended to be an absolute indication of features supported by an IOS release.

1 The interface documents define the individual interfaces – this includes message
 2 procedures, message bitmaps, information element definitions, and the definitions of
 3 timers that are related to the interface. Note that some information elements are used on
 4 multiple interfaces; in this situation, the information element is defined in each of the
 5 associated interface documents. Changes to the definition of an information element in
 6 one interface document do not imply changes to its definition in other interface
 7 documents. However, insofar as possible, the same Information Element Identifier shall
 8 be used in all definitions of the same information element.

9 The Features document defines how features are implemented in the IOS - which
 10 interfaces are used, which messages are used, and how the feature is implemented using
 11 these messages (call flows).

12 1.6 Documentation Conventions

13 “Shall” and “shall not” identify requirements to be followed strictly to conform to the
 14 standard and from which no deviation is permitted. “Should” and “should not” indicate
 15 that one of several possibilities is recommended as particularly suitable, without
 16 mentioning or excluding others; that a certain course of action is preferred but not
 17 necessarily required; or (in the negative form) that a certain possibility or course of action
 18 is discouraged but not prohibited. “May” and “need not” indicate a course of action
 19 permissible within the limits of the standard. “Can” and “cannot” are used for statements
 20 of possibility and capability, whether material, physical, or causal.

21 The scenarios and examples in this specification are not meant to be exhaustive, but are
 22 only used to help explain some of the important procedures of the protocol.

23 Figure 1.6-1 is provided as an example of the conventions used in this specification.

24 Several points within the diagram are worthy of note. The circled numbers in the
 25 following figure correspond to the numbered items below.

- 26 1. Horizontal dotted lines are used to indicate messaging that is not part of defined
 27 interfaces specified in this specification. Not all air interface messages are shown,
 28 and names may be generic. For example, the phrase “handoff direction message” is
 29 meant to include EHDM, GHDM, and UHDM.
- 30 2. Vertical dotted lines between messages are used to indicate the span of timers. The
 31 timer name is placed as close as possible to the line. Timer names begin with a
 32 capital “T” followed by digits or alphabetic characters. If a timer expires prior to
 33 reception of a message that stops the timer, the expiration is shown with an ‘x’ at the
 34 end of the vertical dotted line.
- 35 3. Horizontal solid lines are used to indicate messaging that is part of the interfaces
 36 specified in this standard.
- 37 4. Lower case letters are associated with individual messaging instances in the diagram
 38 in alphabetical order arranged vertically. These letters correlate the text below the
 39 diagram with portions of the diagram.
- 40 5. Procedures that may involve the exchange of several messages are shown as an open
 41 block arrow with the name of the procedure inside.
- 42 6. Comments related to parts of the diagram are located in a comment column on the
 43 right side of the diagram.

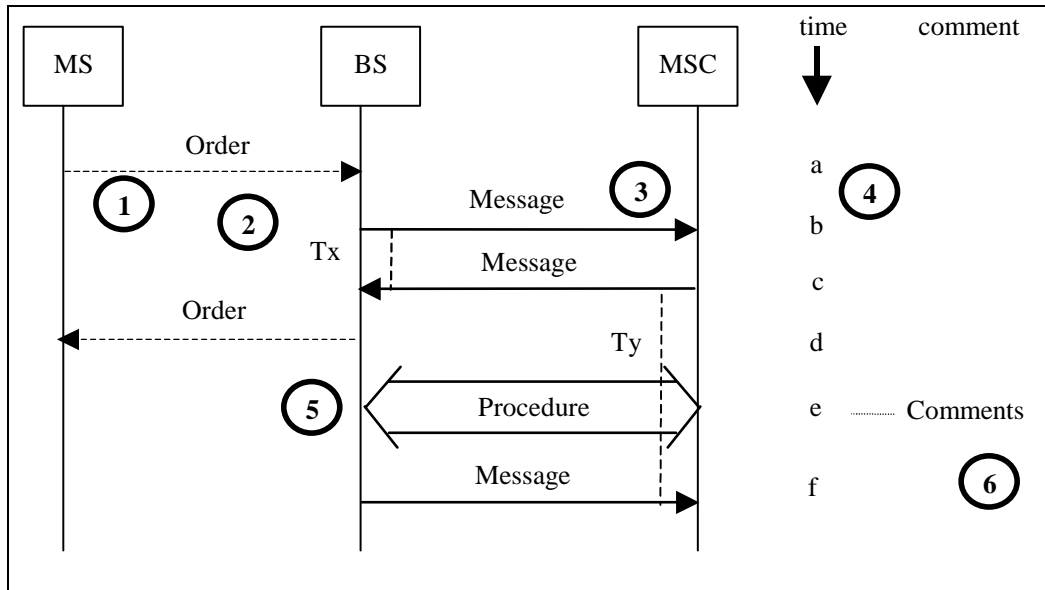


Figure 1.6-1 Document Convention Example: Call Clear Initiated by MS

- a. The mobile station transmits an Order over the reverse link.
- b. The BS sends a message to the MSC. The BS starts timer T_x .
- c. The MSC sends a message to the BS and starts timer T_y . The BS stops timer T_x .
- d. The BS acknowledges the MS message by returning an Order over the forward link.
- e. The BS and MSC execute the procedure.
- f. The BS returns a message to the MSC. The MSC stops timer T_y .

1.6.1 Procedural Descriptions

The procedural descriptions are broken into sections where each section describes one of the messages in the procedure. The description for each procedure is written to flow through each section devoted to the messages that make up the procedure. Each of these sections has the following subsection breakdowns (as appropriate):

1. **Successful Operation:** this section is used to describe what the message is for, why the sender is sending it, and what the receiver is expected to do with the message. It may also provide a brief tutorial on the overall impact of this message regarding the particular procedure. It includes invocation of any pertinent timers or discussion of timing constraints. It may also provide subsections that describe the uses of the elements within the message, particularly if they are not obvious.
2. **Failure Operation:** if applicable, this section is used to describe the actions of the sender if the expected response is not received by the sender. This includes treatment of timer expiration or receipt of failure messages stemming from the message that was sent.
3. **Abnormal Operation:** if applicable, this section is used to describe out of sequence events that could possibly occur and the treatment by the receiver of the message.

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2.0 Interface Model

The logical reference model used for this standard is the Network Reference Model as shown in [18].

2.1 Reference Points A, A_{ter}, A_{quinter}, and A_{quater}

The Network Reference Model contains reference points A, A_{ter}, A_{quinter}, and A_{quater} that are implemented by the protocols and interfaces of this standard.

- The A reference point is implemented by the A1, A2 and A5 interfaces.
- The A_{ter} reference point is implemented by the A3 and A7 interfaces.
- The A_{quater} reference point is implemented by the A10 and A11 interfaces.
- The A_{quinter} reference point is implemented by the A8 and A9 interfaces.

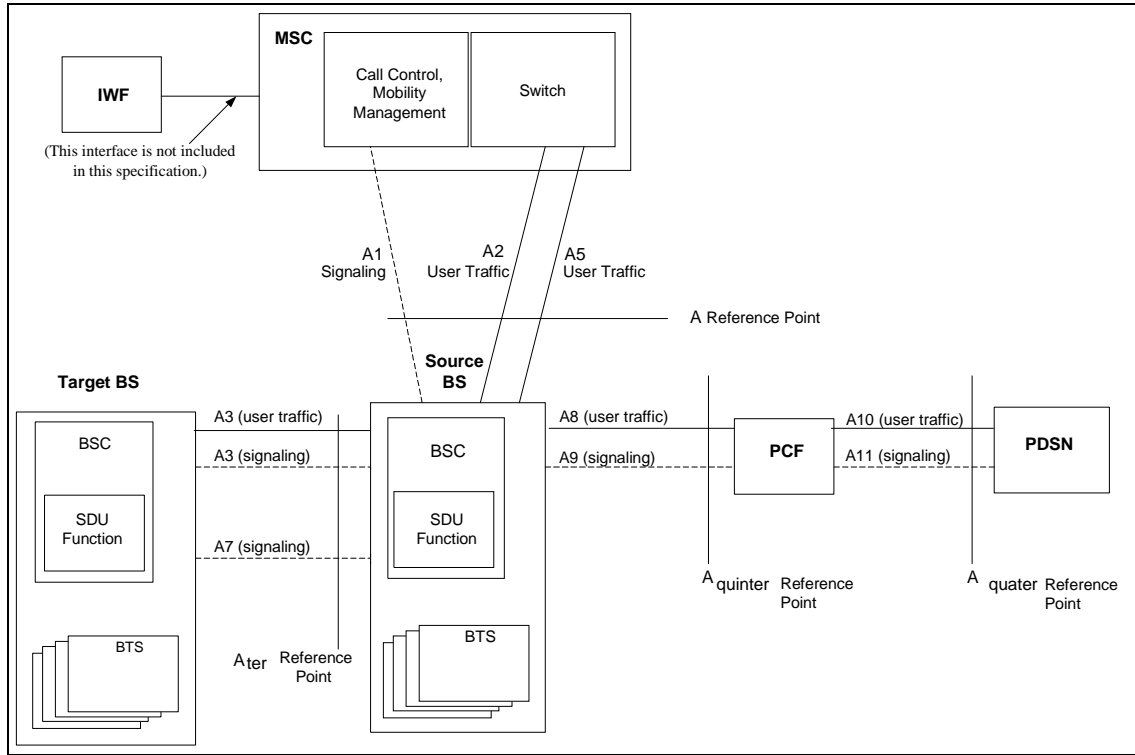
2.2 Interface Reference Model

The interfaces defined in this standard are described below.

A1	The A1 interface carries signaling information between the Call Control (CC) and Mobility Management (MM) functions of the MSC and the call control component of the BS (BSC).
A2	The A2 interface carries 64/56 kbps PCM information or 64 kbps Unrestricted Digital Information (UDI, for ISDN) between the Switch component of the MSC and the Selection/Distribution Unit (SDU) function of the BS.
A3	The A3 interface carries coded user information (voice/data) and signaling information between the source BS SDU function and the channel element component (BTS) of the target BS. This is a logical description of the endpoints of the A3 interface. The physical endpoints are beyond the scope of this specification. The A3 interface is composed of two parts: signaling and user traffic. The signaling information is carried across a separate logical channel from the user traffic channel, and controls the allocation and use of channels for transporting user traffic.
A5	The A5 interface carries a full duplex stream of bytes between the switch component of the MSC and the SDU function of the BS.
A7	The A7 interface carries signaling information between a source BS and a target BS.
A8	The A8 interface carries user traffic between the BS and the PCF.
A9	The A9 interface carries signaling information between the BS and the PCF.
A10	The A10 interface carries user traffic between the PCF and the PDSN.
A11	The A11 interface carries signaling information between the PCF and the PDSN.

This is a logical architecture that does not imply any particular physical implementation. Figure 2.2-1 shows the relationship among network components in support of mobile originations, mobile terminations, and direct BS-to-BS soft/softer handoff operations.

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Figure 2.2-1 Reference Model for cdma2000 Access Network Interfaces

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The A1 interface is used to provide a signaling connection between the source BS and the MSC.

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The A2 interface is used to provide a path for user traffic for circuit-oriented voice and ISDN calls between the source BS and the MSC.

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The A3 interface is used to transport user traffic and signaling for inter-BS soft/softer handoff when a target BS is attached to the frame selection function within in the source BS.

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The A5 interface is used to provide a path for user traffic for circuit-oriented data calls between the source BS and the MSC.

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The A7 interface is used between the source BS and the target BS for inter-BS soft/softer handoff signaling.

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The A8 interface is used to provide a path for user traffic between source BSC and PCF for packet data services.

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The A9 interface is used to provide a signaling connection between source BSC and PCF for packet data services.

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The A10 interface is used to provide a path for user traffic between a PCF and a PDSN for packet data services.

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The A11 interface is used to provide a signaling connection between a PCF and a PDSN for packet data services.

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For this standard the IWF for circuit-oriented data calls is assumed to be located at the MSC.

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For this standard the SDU function is considered to be co-located with the source BSC.

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3.0 Information Flows

The interfaces defined in this standard provide:

- bearer (user traffic) connections (A2, A3 (traffic), A5, A8, and A10);
- a signaling connection between the channel element component of the BS and the SDU function (A3 signaling);
- a direct BS to BS signaling connection (A7);
- a signaling connection between the BS and the MSC (A1);
- a signaling connection between the BS and PCF (A9); and
- a signaling connection between a PCF and PDSN pair (A11). A11 signaling messages are also used for passing accounting related and other information from the PCF to the PDSN.

In general, the functions specified on the interfaces are based on the premise that the interfaces carry signaling information that traverses the following logical paths:

- between the BS and MSC only (e.g., BS management information);
- between the MS and the MSC via the BS (e.g., the BS maps air interface messages to the MSC-BS Interface);
- between the BS and other network elements via the MSC;
- between the source BS and the target BS;
- between the BS and the PCF;
- between the PCF and the PDSN; and
- between the MS and the PDSN (e.g., authorization information and MIP signaling).

These logical paths define all of the traffic that can exist on the defined interfaces. To support these logical paths, the interfaces of this standard can be described by the following characteristics:

- physical and electromagnetic parameters;
- channel structures; and
- message types and contents.

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4.0 Packet Data Micro-Mobility and Macro-Mobility Concepts

The Figure 4-1 provides a conceptual view of levels of packet data mobility.

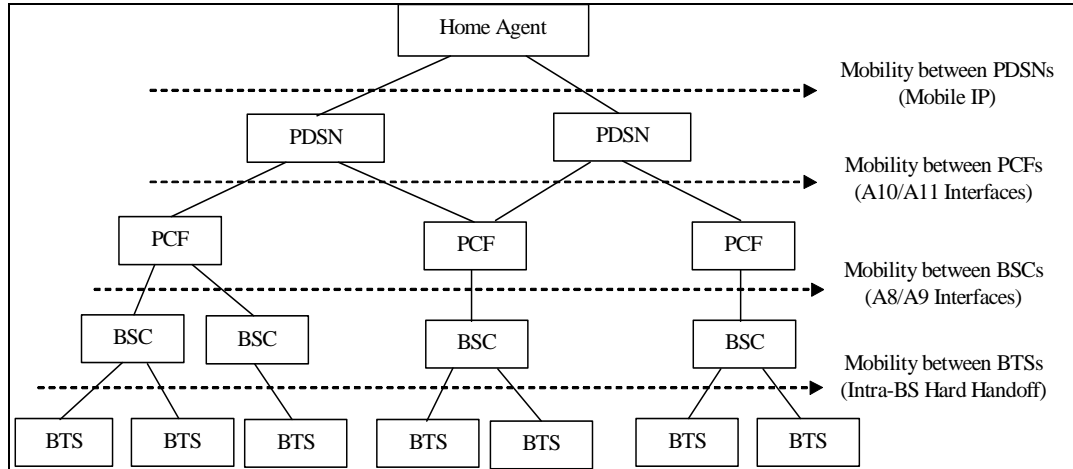


Figure 4-1 Levels of Packet Data Mobility

- The A8/A9 interfaces support mobility between BSCs under the same PCF.
- The A10/A11 interfaces support mobility between PCFs under the same PDSN.
- Mobile IP supports mobility between PDSN/FA under the same Home Agent.
- Hard handoff and soft handoff procedures realize the mobility between BTSs.